



# Country Programme Action Plan 2013-2017

Government of India and  
the United Nations Children's Fund



**ANNEXURES**





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# CONTENTS

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## Annexure A

<b>Summary Results Matrix: Government of India – UNICEF Country Programme, 2013 – 2017</b>	<b>1</b>
Programme Component Result 1: Infants, young children and mothers have equitable access to and utilise quality services for child survival, growth and development	1
Programme Component Result 2: Boys and girls live in a protective and learning environment and have equitable access to and utilise quality education and protection services	3
Programme Component Result 3: Adolescents participate in and are empowered to make informed decisions affecting their lives	5
Programme Component Result 4: Policies, practices, programmes, public opinion and social norms advance the rights of children, adolescents and women	7

## Annexure B

<b>CPAP Results Framework</b>	<b>9</b>
Programme Component Result 1	9
Programme Component Result 2	23
Programme Component Result 3	29
Programme Component Result 4	37

## Annexure C

<b>Five Year Integrated Monitoring and Evaluation Plan</b>	<b>43</b>
--	-----------

## Annexure D

<b>Summary Budget Table by Programme Component and Funding Source</b>	<b>46</b>
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## Annexure A: Summary Results Matrix: Government of India – UNICEF Country Programme, 2013 – 2017

**Millennium Development Goals/Millennium Declaration Commitments:** MDG 1, MDG 4, MDG 5, MDG 6, MDG 7. **CRC Articles:** 2, 6, 24

**National Development Priorities (Approach Paper to the 12th Five Year Plan):** Health (comprehensive health care, health infrastructure, human resources for health, child nutrition), Rural Transformation (sanitation and drinking water)

**UNDAF Outcome 4:** Vulnerable and marginalised populations have equitable access to and use quality basic services in selected states (i.e. health, education, sanitation, HIV care, safe drinking water).

**UNDAF Outcome 2:** Vulnerable populations in the UNDAF priority states have improved availability of, access to and utilisation of food and nutrition to meet minimum standards.

Programme Component	Programme Component Result(s)	Key Progress Indicators, Baselines and Targets (for each PCR)	Major Partners, Partnership Frameworks and Cooperation Programmes	
<b>Programme Component: Mother and child survival, growth and development</b>  <b>Focus Area 1: Young child survival and development</b>  <b>Focus Area 3: HIV and children</b>	1. Infants, young children and mothers have equitable access to and utilise quality services for child survival, growth and development	<b>1. % of children who are breastfed within one hour of birth (timely initiation of breastfeeding)</b> <i>(Baseline: 34% CES 2009, Target: 59% Source: Rapid Survey on Children (RSOC)/CES/DHS, MOV: RSOC/DHS)</i>	MWCD, NIPCCD, Ministry of Health and Family Welfare (MoHFW), the Indian Academy of Pediatrics, the World Health Organization (WHO), the World Food Programme, the World Bank, DFID, USAID, the Global Alliance for Improved Nutrition, the Micronutrient Initiative, the International Food Policy Research Institute, the Naandi Foundation, Save the Children, the National Coalition for Sustained Nutrition Security, the Citizens' Alliance Against Malnutrition and Aamir Khan	
		<b>2. % of children who are fed complementary foods in a timely manner (timely initiation of complementary feeding)</b> <i>(Baseline: 57%, NFHS 2006, Target: 77%, Source: RSOC/CES/DHS, MOV: RSOC/DHS)</i>		
		<b>3. % of children who receive vitamin A supplements twice yearly (full vitamin A supplementation coverage)</b> <i>(Baseline: 66% SOWC 2011, Target: 81%, MOV &amp; Source: RSOC)</i>		
		<b>4. % of households using improved sanitation facilities</b> <i>(Baseline: 34% JMP 2011 Update, Target: 60% JMP 2017 Update, Source &amp; MOV: JMP 2017 Update)</i>		Ministry of Drinking Water and Sanitation, DFID, Department of Elementary Education, ICDS, NRHM and the National Disaster Management Authority
		<b>5. % of households using improved drinking water sources</b> <i>(Baseline: 91% JMP 2011 Update, Target: 100% JMP 2017 Update, Source &amp; MOV: JMP 2017 Update)</i>		

Programme Component	Programme Component Result(s)	Key Progress Indicators, Baselines and Targets (for each PCR)	Major Partners, Partnership Frameworks and Cooperation Programmes
		<p><b>6. % of live births delivered by a skilled provider</b> (Baseline: 72%, Source: CES 2009, Target: 85%, Source &amp; MOV: NHS)</p> <p><b>7. % of newborns who receive 3 check-ups within 10 days of birth</b> (Baseline: 45.4%, Target: 70%, Source CES 2009, Source &amp; MOV: NHS)</p> <p><b>8. % of HIV infected pregnant women who received antiretroviral drugs to reduce the risk of mother to child transmission</b> (Baseline: 27% Target: 80% Source: Universal Access Report, MOV &amp; Source: Universal Access Report)</p> <p><b>9. % of infant born to HIV infected women receiving antiretroviral prophylaxis for prevention of mother to child transmission of HIV</b> (Baseline: 29%, Target: 80% Source: Universal Access Report, Source &amp; MOV: Universal Access Report)</p> <p><b>10. Proportion of children fully immunised</b> (Baseline: 61%, Source: CES, Target 80%, Source &amp; MOV: NHS)</p> <p><b>11. Number of polio cases</b> (Baseline: as per source, Source: Weekly Polio Reports, Target: 0 cases, MOV: AFP/environmental surveillance)</p>	<p>NRHM, Rashtriya Swasthya BimaYojna WHO, DFID, IKEA, NIPI, Professional and academic institutions</p> <p>National AIDS Control Organisation (NACO) MoHFW, WHO, UNAIDS, UNFPA</p> <p>NACO, MoHFW, WHO, UNAIDS, UNFPA</p> <p>MoHFW, WHO, Maternal and Child Health Integrated Programme, GAVI, IKEA, NIPI, Professional and academic institutions</p> <p>WHO, Rotary, CORE, CDC, BMGF</p>



<p><b>Millennium Development Goals/Millennium Declaration Commitments:</b> MDG 2, MDG 3, Millennium Declaration.  <b>CRC Articles:</b> 2, 7, 19, 20, 28, 29, 32, 34, 40</p>		
<p><b>National Development Priorities (e.g. Approach Paper to the 12th Five Year Plan):</b> Education (universal elementary and quality education, expansion of lower secondary education)</p>		
<p><b>UNDAF Outcome 3:</b> Government and civil society institutions are responsive and accountable for improving women's position, advancing their social, political, economic rights and preventing gender discrimination.  <b>UNDAF Outcome 4:</b> Vulnerable and marginalised populations have equitable access to and use quality basic services in selected states (i.e. health, education, sanitation, HIV care, safe drinking water).</p>		
Programme Component	Programme Component Result(s)	Key Progress Indicators, Baselines and Targets (for each PCR)
<p><b>Programme Component:</b> Protective and learning environment</p> <p><b>Focus Area 2:</b> Basic education and gender equality</p> <p><b>Focus Area 4:</b> Child protection from violence, exploitation and abuse</p>	<p>2. Boys and girls live in a protective and learning environment and have equitable access to and utilise quality education and protection services</p>	<p>Major Partners, Partnership Frameworks and Cooperation Programmes</p> <p>Ministry of Human Resource Development (MHRD), NCERT, NUEPA, NCPCR, Ministry of Youth and Sports, Ministry of Tribal Affairs, UNESCO, ILO, World Bank, DFID, EU, civil society and academic institutions</p>
		<p><b>1. Upper primary school completion rate</b>  <i>(Baseline and Targets TBD, Source: District Information for Systems in Education (DISE) 2011-2012)</i></p> <p><b>2. Drop-out rate at primary level (I-V)</b>  <i>(Baseline: Total: 28.86% SES<sup>1</sup> 2009-2010, Target: Reduction in drop-out rates by 8% points, MOV &amp; Source: SES)</i></p> <p><b>3. Drop-out rate at upper primary level (I-VIII)</b>  <i>(Baseline: Total 42.39% SES 2009-2010, Target: Reduction in drop-out rates by 5% points, MOV &amp; Source: SES)</i></p> <p><b>4. Estimates available on the proportion of all children in formal care who are currently accommodated in non-family-based care settings<sup>2</sup> and number of children living with their primary caregivers and receiving external support</b>  <i>(Target: estimates available)</i></p> <p><b>5. % of births registered for children below 5 years</b>  <i>(Baseline: 41% NFHS 2005-06, Target: 50% MOV &amp; Source: RSOC)</i></p>
		<p>MWCD, NCPCR, Indian Council for Child Welfare, Ministry of Social Justice, Pro Child Coalition, NIPCCD</p> <p>Registrar General of India</p>

<sup>1</sup> Statistics of School Education.

<sup>2</sup> For both these indicators, no baseline available. Sample survey to be conducted in 2012-2013 to arrive at baseline and targets.

Programme Component	Programme Component Result(s)	Key Progress Indicators, Baselines and Targets (for each PCR)	Major Partners, Partnership Frameworks and Cooperation Programmes
		<p><b>6. Age specific workers population ratio (WPR) for age-group 5-14 year disaggregated by boys/girls, according to usual status</b>  <i>(Baseline: Male 3%, Female 2% NSSO 2007-08, 64th Round, Target: Male 0%, Female 0%, MOV &amp; Source: NSSO)</i></p>	<p>Ministry of Labour, MHRD, MRD, Campaign Against Child Labour, National Law Academy, Law schools, IKEA</p>

Millennium Development Goals/Millennium Declaration Commitments: MDG 3, MDG 6, Millennium Declaration. CRC Articles: 2, 12, 19, 24, 28, 29			
National Development Priorities (Approach Paper to the 12th Five Year Plan): Education (skill development)			
<p><b>UNDAF Outcome 2:</b> Vulnerable populations in the UNDAF priority states have improved availability of, access to and utilisation of food and nutrition to meet minimum standards.</p> <p><b>UNDAF Outcome 3:</b> Government and civil society institutions are responsive and accountable for improving women's position, advancing their social, political, economic rights and preventing gender discrimination.</p> <p><b>UNDAF Outcome 4:</b> Vulnerable and marginalised populations have equitable access to and use quality basic services in selected states (i.e. health, education, sanitation, HIV care, safe drinking water).</p>			
Programme Component	Programme Component Result(s)	Key Progress Indicators, Baselines and Targets (for each PCR)	Major Partners, Partnership Frameworks and Cooperation Programmes
<p><b>Programme Component: Adolescent participation and empowerment</b></p> <p><b>Focus Area 4: Child protection from violence, exploitation and abuse</b></p>	<p>3. Adolescents participate in and are empowered to make informed decisions affecting their lives</p>	<p><b>1. % of adolescents who state that they actively participate in key decisions affecting their lives (relating to their work, marriage, education, health, nutrition, hygiene, mobility)</b> (Target: Values for this indicator are not yet available. Baseline surveys to be conducted for national and state level estimates. Targets to be subsequently determined)</p>	MWCD, NIPCCD
		<p><b>2. % of adolescents who stated that they have an opportunity to participate in community level governance, networks</b> (Target: Values for this indicator are not yet available. Baseline surveys to be conducted for national and state level estimates. Targets to be subsequently determined)</p>	MWCD, NIPCCD, Ministry of Panchayati Raj
		<p><b>3. % of population/key stakeholders who support social norms<sup>3</sup> that reinforce adolescents participation and empowerment</b> (Target: Values for this indicator are not yet available. Baseline surveys to be conducted for national and state level estimates. Targets to be subsequently determined)</p>	MWCD, NIPCCD
		<p><b>4. Drop-out rate of boys and girls at 10th standard</b> (Baseline: Total: 52.76%, Boys: 53.38% Girls: 51.96% SES 2009-10, Target: reduction in drop-out rate by 5% points, MOV &amp; Source: SES)</p>	MHRD and partners listed under PCR 2, indicators 1-3

<sup>3</sup> Social norms to be measured by the number of individuals expecting that a significant number of the population of reference adhere or approve a certain behaviour (empirical expectations) and by the number of individuals believing that others expect them to adhere to that behaviour (normative expectations).

Programme Component	Programme Component Result(s)	Key Progress Indicators, Baselines and Targets (for each PCR)	Major Partners, Partnership Frameworks and Cooperation Programmes
		<p><b>5. % of married women<sup>4</sup> getting married before age 18</b> (Baseline: 43%, Source: DLHS III, Target: 30%, MOV &amp; Source: DLHS IV/RSOC)</p> <p><b>6. % of boys<sup>5</sup> married before the age of 21 years</b> (Baseline: 23%, Source: DLHS 2007-08, Target 16%, MOV &amp; Source: survey conducted on adolescents)</p> <p><b>7. Estimates available on % of adolescent girls who receive appropriate services<sup>6</sup> for the prevention of anaemia and undernutrition</b> (Baseline and Target TBD, Source &amp; MOV: Adolescents Survey)</p> <p><b>8. Proportion of adolescent girls<sup>7</sup> who have begun child bearing</b> (Baseline: 16% NFHS 3 2005-2006, Target: 12%, MOV &amp; Source: NFHS)</p>	<p>MWCD, NIPCCD, MoHFW, ICRW, MHRD, Youth Affairs, NACO, Breakthrough, Ministry of Home Affairs, Campaign Against Child Trafficking (CACT)</p> <p>MWCD, NIPCCD, MoHFW International Center for Research on Women, MHRD, Youth Affairs, NACO, Breakthrough, Ministry of Home Affairs, CACT</p> <p>MWCD, NIPCCD, MoHFW, MHRD, WHO</p> <p>MoHFW, WHO, UNFPA</p>

<sup>4</sup> 20-24 years.

<sup>5</sup> 25-29 years.

<sup>6</sup> 3 key services: Iron and folic acid supplementation, deworming prophylaxis and nutrition education and counseling.

<sup>7</sup> 15-19 years.

<b>Millennium Development Goals/Millennium Declaration Commitments: MDG 1. CRC Articles: 2, 3, 4, 27 (Entire CRC and the two optional protocols)</b>			
<b>National Development Priorities (Approach Paper to the 12th Five Year Plan): Rural Transformation (devolution of powers and rural local self-governments), Social and Regional Equity, Urbanisation, Good Governance and Implementation of Governance Programmes</b>			
<p><b>UNDAF Outcome 1:</b> Inclusive and equitable growth policies and poverty reduction strategies of the government strengthened to ensure that most vulnerable and marginalized people in rural and urban areas have greater access to decent employment, skills development, social protection and sustainable livelihoods.</p> <p><b>UNDAF Outcome 5:</b> Governance systems are more inclusive, accountable, decentralised and programme implementation more effective for realisation of rights of marginalised groups, especially women and children.</p> <p><b>UNDAF Outcome 6:</b> Government, industry and other relevant stakeholders actively promote more environmentally sustainable development resilience of communities is enhanced in the face of challenges of Climate Change, Disaster Risk and natural resource depletion.</p>			
Programme Component	Programme Component Result(s)	Key Progress Indicators, Baselines and Targets (for each PCR)	Major Partners, Partnership Frameworks and Cooperation Programmes
<p><b>Programme Component: Policy advocacy and social change for child rights</b></p> <p><b>Focus Area 5: Policy advocacy and partnerships for children's rights</b></p>	4. Policies, practices, programmes, public opinion and social norms advance the rights of children, adolescents and women	<p><b>1. CRC recommendations are implemented by an effective inter-ministerial mechanism</b> (Target: <i>Inter-ministerial mechanism established and functional, chaired by MWCD, Source and MOV: Minutes of Meetings, Next CRC Country Report</i>)</p> <p><b>2. % of expenditure vis-à-vis allocations for major flagship programmes<sup>8</sup> at national and state level</b> (Baseline: <i>Financial allocations/expenditures, Target: Allocation targets set in the 12th FYP are fully met, MOV and Source: Economic Survey Review, Union Government Budget Documents</i>)</p> <p><b>3. Availability of annual disaggregated data on outcomes for children and women for use in policy and programmes</b> (Baseline: <i>First survey conducted by the Ministry of Statistics and Programme Implementation by 2012, Target: outcome indicators available in the public domain, MOV: Rapid Survey on Children conducted yearly</i>)</p>	<p>MWCD, NCPDR, civil society</p> <p>Centre for Budget and Governance Accountability</p> <p>Ministry of Statistics and Programme Implementation, DFID</p>

<sup>8</sup> ICPS, ICDS, NBA, MDM, NREGS, PDS, NRHM, SSA, NRWDP.

Programme Component	Programme Component Result(s)	Key Progress Indicators, Baselines and Targets (for each PCR)	Major Partners, Partnership Frameworks and Cooperation Programmes
		<p><b>4. Estimates available on quality<sup>9</sup> media reports on prioritised<sup>10</sup> issues in key national and state level news media</b>  <i>(Baseline: data available, analysis needed, Target: % increase in quality media reports around prioritised child rights issues, MOV: media monitoring and analysis)</i></p> <p><b>5. Estimates available on % of selected influencers and decision makers who have supportive<sup>11</sup> attitudes to prioritised<sup>12</sup> issues</b>  <i>(Baseline: Data not yet available, Target: Understanding of, and supportive perceptions and attitudes towards prioritised child rights issues, MOV: Survey among influencers and evaluation to be conducted in 2012)</i></p> <p><b>6. Flagship programmes reflect strategies to address social norms as a determinant of behaviour change</b>  <i>(Baseline: inadequate reflection of social norms in current strategies, Target: key flagship programmes include components on social and cultural norms, MOV: Review of key flagship programme documents)</i></p>	<p>National and vernacular online and other media, IKEA</p> <p>UNRCO, Parliament Secretariat, MWCD, NCPDR, civil society, professionals, celebrities</p> <p>Government ministries including Ministry of Information and Broadcasting, Doordarshan, All India Radio, IEC Division, MoHFW, NIPCCD, academic institutions</p>

<sup>9</sup> Quality assessment based on: tone, accuracy and relevance.

<sup>10</sup> Prioritised issues defined as: key issues across programmes.

<sup>11</sup> Supportive attitudes: attitudes likely to result in actions contributing to positive change.

<sup>12</sup> Prioritised issues defined as: key issues across programmes.

## Annexure B: CPAP Results Framework

## Expected UNDAF Outcome #1: Extracted from the UNDAF

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets <sup>13</sup>	MOV
<b>1. Mother and child survival, growth and development</b>	1. Infants, young children and mothers have equitable access to and utilize quality services for child survival, growth and development	% of children who are breastfed within one hour of birth (Timely initiation of breast feeding) ( <i>Baseline: 34% CES 2009, Target: 59% Source: RSOC/ CES/DHS, MOV: Rapid Survey on Children (RSOC)/ DHS</i> )	<b>CDN IR #1.1:</b> Governments and partners can scale up programmes to deliver essential nutrition services to prevent undernutrition in infants and young children, particularly in the most deprived communities	Proportion of states with a comprehensive <sup>14</sup> programme implementation plan and budgets to scale up services to protect, promote and support optimal infant and young child feeding practices and related maternal nutrition	<b>Baseline:</b> 13/13 states on track <b>Target:</b> ≥10/13 states on target	<ul style="list-style-type: none"> <li>• State PIPs ICDS</li> <li>• State PIPs NRHM</li> <li>• Annual Programme Review ICDS</li> <li>• Annual Programme Review NRHM</li> <li>• Annual mapping of partners and partners' knowledge, skills and engagement</li> <li>• Primary data collection interviews with key partners and informants</li> <li>• State programme monitoring reports</li> </ul>
				Proportion of states with a comprehensive programme implementation plan and budgets to scale up services to control micronutrient deficiencies and anaemia in children and mothers	<b>Baseline:</b> 13/13 states on track <b>Target:</b> ≥10/13 states on target	
				Proportion of states with a focused <sup>15</sup> strategy and budget to scale up essential nutrition services to prevent undernutrition in infants and young children and their mothers	<b>Baseline:</b> 13/13 states on track <b>Target:</b> ≥10/13 states on target	

<sup>13</sup> *On track* means that the state is making adequate progress towards the target; *On target* means that the target is met.

<sup>14</sup> *Comprehensive* means that it prioritizes the delivery of all evidence-based, essential nutrition interventions through a mix of facility-, outreach- and community-based approaches, with a commensurate budget.

<sup>15</sup> *Focused* means that it prioritizes the delivery of essential nutrition services through a continuum of care for the most vulnerable children and mothers (i.e. the youngest, poorest, and/or excluded) that emphasizes the 1,000 day window of opportunity, from conception to age two years.



UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
1. Mother and child survival, growth and development	1. Infants, young children and mothers have equitable access to and utilize quality services for child survival, growth and development	initiation of complementary feeding) ( <i>Baseline: 57%, NFHS 2006, Target: 77%, Source: RSOC/CES/DHS, MOV: RSOC/DHS</i> )  % of children who receive vitamin A supplements twice yearly (Full vitamin A supplementation coverage) ( <i>Baseline: 66% SOWC 2011, Target: 81%, MOV &amp; Source: RSOC</i> )		Proportion of states with a broad-based partnership for scaling up essential nutrition services for children under two and their mothers is functional <sup>16</sup>	<b>Baseline:</b> 13/13 states on track <b>Target:</b> ≥10/13 states on target	
			<b>CDN IR #1.2:</b> Government and partners can scale up programmes to increase demand for essential nutrition services and adoption of essential nutrition practices to prevent undernutrition in infants and young children <sup>17</sup>	Proportion of states with a comprehensive communication strategy to support families and communities' demand for essential nutrition services and adoption of essential nutrition practices to prevent undernutrition in infants and young children <sup>17</sup>	<b>Baseline:</b> 13/13 states on track <b>Target:</b> ≥10/13 states on target	<ul style="list-style-type: none"> <li>• State PIPs ICDS</li> <li>• State PIPs NRHM</li> <li>• Annual Programme Review ICDS</li> <li>• Annual Programme Review NRHM</li> <li>• Annual mapping of partners and partners' knowledge, skills and engagement</li> <li>• Primary data collection interviews with key partners and informants</li> <li>• State programme monitoring reports</li> </ul>
				Proportion of states where a broad-based partnership with local governance bodies and civil society organizations to support families and communities' demand for essential nutrition services and adoption of essential nutrition practices to prevent undernutrition in infants and young children is functional	<b>Baseline:</b> 13/13 states on track <b>Target:</b> ≥10/13 states on target	

<sup>16</sup> *Broad-based* means that it is inclusive but not limited to public sector, academic institutions, professional bodies, private sector, development partners, media, alliances and champions; *Functional* means that it has contributed to the achievement of positive advocacy, policy and/or programme outcomes and that this contribution is documented.

<sup>17</sup> Comprehensive means that the communication strategy is inclusive of consistent, evidence-based and complete messages and uses a mix of communication tools, channels and techniques that are contextualized to the reality of mothers, families and communities.



UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>1. Mother and child survival, growth and development</b>	1. Infants, young children and mothers have equitable access to and utilize quality services for child survival, growth and development			Proportion of states with a critical mass <sup>18</sup> of local governance bodies, civil society organizations and community resource persons with necessary knowledge and skills to stimulate demand for essential nutrition services and adoption of essential nutrition practices to prevent undernutrition in infants and young children in the most deprived communities	<b>Baseline:</b> 0/8 states on track <b>Target:</b> ≥8/8 states on target	
				Proportion of states with an comprehensive strategy to scale up services to provide timely and quality care for children with severe acute malnutrition	<b>Baseline:</b> 13/13 states on track <b>Target:</b> ≥10/13 states on target	<ul style="list-style-type: none"> <li>• State PIPs ICDS</li> <li>• State PIPs NRHM</li> <li>• Annual Programme Review ICDS</li> </ul>
				Proportion of states with a focused strategy and budget to provide care and support for children with severe acute malnutrition in the most deprived communities (High Scheduled Castes/Scheduled Tribes population)	<b>Baseline:</b> 13/13 states on track <b>Target:</b> ≥10/13 states on target	<ul style="list-style-type: none"> <li>• Annual Programme Review NRHM</li> <li>• Primary data collection interviews with key partners and informants</li> <li>• State programme monitoring reports</li> </ul>
			<b>CDN IR #1.3:</b> Governments and partners can scale up programmes to provide essential nutrition care and support for children who are severely undernourished, sick and/ or affected by disasters, particularly in the most deprived communities	Proportion of states with an comprehensive strategy to scale up services to provide timely and quality nutrition care and support to children affected by HIV	<b>Baseline:</b> 13/13 states on track <b>Target:</b> ≥10/13 states on target	

<sup>18</sup> *Critical mass* means that there is a minimum number of local governance bodies, civil society organizations and community resource persons that are able to galvanize demand for essential nutrition services and adoption of essential nutrition practices in the priority districts.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<p><b>1. Mother and child survival, growth and development</b></p>	<p>1. Infants, young children and mothers have equitable access to and utilize quality services for child survival, growth and development</p>		<p>Intermediate Result (IR)</p>	<p>Proportion of states that have incorporated child-centered DRR plans to respond to the nutrition needs of children and mothers affected by disasters and civil strife</p>	<p><b>Baseline:</b> 0/8 states on track <b>Target:</b> ≥6/8 states on target</p>	<ul style="list-style-type: none"> <li>• Reports of large-scale (at least state-wide) nutrition surveys conducted by independent national organizations</li> <li>• Annual mapping of media and civil society partners and their knowledge, skills and engagement</li> <li>• Constitutional documents of State Nutrition Missions/ Councils</li> <li>• Minutes of quarterly meetings of the Nutrition Mission.</li> <li>• HACT spot checks and field monitoring reports</li> </ul>
			<p><b>CDN IR #1.4:</b> Governments and partners have access to data, evidence, information and knowledge to guide multi-sectoral food and nutrition and nutrition advocacy, policy, programme and budgetary action, particularly for the most deprived children</p>	<p>No. of independent national organizations with technical and managerial capacity to undertake large-scale nutrition surveys and analyse and disseminate data, evidence, information and knowledge to guide inclusive multi-sectoral food and nutrition policy and programme action</p>	<p><b>Baseline:</b> 2 organizations on track <b>Target:</b> 3 organizations on target</p>	
				<p>No. of media partners and civil society organizations which can leverage data, evidence, information and knowledge on maternal and child nutrition to influence political views and public opinion</p>	<p><b>Baseline:</b> 2 partners on track <b>Target:</b> 3 partners on target</p>	
				<p>No. of states with appropriate information systems to generate data and knowledge to guide inclusive multi-sectoral food and nutrition action</p>	<p><b>Baseline:</b> 13/13 states on track <b>Target:</b> ≥10/13 states on target</p>	

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
1. Mother and child survival, growth and development	1. Infants, young children and mothers have equitable access to and utilize quality services for child survival, growth and development	Proportion of children fully immunized (Baseline: 61%, Source: CES, Target 80%, Source & MOV: NHS)	<p><b>RCH IR #1.5:</b> Governments and partners can scale up programmes to improve the coverage and quality of immunization and other outreach services for children and women, particularly in the most deprived communities</p>	No. of states with appropriate institutional mechanisms to guide and coordinate inclusive multi-sectoral food and nutrition policy and programme action, particularly for the most deprived children	<b>Baseline:</b> 4 states on track <b>Target:</b> ≥10/13 states on target	PIP/CRM (Annual common review mission of NRHM) + state monitoring reports (UNICEF)
				No. of states with a comprehensive budgeted road map for the implementation of the MYP (Multi Year Plan) for Routine immunization and Measles 2nd opportunity to improve RI + MCV2 coverage rates, reduce left-out & drop-out rates	<b>Baseline:</b> 0 <sup>19</sup> <b>Target:</b> 10/14 <sup>20</sup>	PIP/CRM (Annual common review mission of NRHM) + state monitoring reports (UNICEF)
		% of new born who receive 3 checkups within 10 days of birth (Baseline: 45.4%, Target: 70%, Source CES 2009, Source & MOV: NHS)		No. of states which have introduced new vaccine(s) in their state for enhancing protection against selected vaccine preventable diseases	<b>Baseline:</b> 2/14 <sup>20</sup> <b>Target:</b> 6/14	PIP/CRM (Annual common review mission of NRHM) + state monitoring reports (UNICEF)
				No. of states with a <b>comprehensive</b> budgeted implementation and M&E framework to guide VHND macro and micro plan with SOPs	<b>Baseline:</b> 1/14 <sup>20</sup> <b>Target:</b> 6/14	PIP/CRM (Annual common review mission of NRHM) + state monitoring reports (UNICEF)

<sup>19</sup> MYP still a draft and states have not yet started designing these road maps.

<sup>20</sup> All full programming states, selected programming states and Karnataka.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
1. Mother and child survival, growth and development	1. Infants, young children and mothers have equitable access to and utilize quality services for child survival, growth and development	% of live births delivered by a skilled provider (Baseline: 72%, Source: CES 2009, Target: 85%, Source & MOV: NHS)	<b>RCH IR #1.6:</b> Government and partners have the capacity to deliver essential community based maternal, newborn and child health (MNCH) services according to set standards, particularly in the most deprived communities	No. of states which have conducted cold chain and vaccine logistics assessments (EVM) with subsequent development of budgeted improvement plan based on at least 2 EVMs	<b>Baseline:</b> 2/13 <sup>21</sup> <b>Target:</b> 9/13	State RI reporting and EVM reports
				No. of states with a comprehensive budgeted implementation and M&E framework to guide the implementation of the national Diarrhoea + Pneumonia guidelines	<b>Baseline:</b> 0/12 <sup>22</sup> <b>Target:</b> 10/12	PIP + state monitoring reports (UNICEF)
				No. of malaria hyper endemic states which have budgeted plans for comprehensive malaria prevention & treatment, especially for pregnant women, children in hard to reach areas	<b>Baseline:</b> 1/4 <b>Target:</b> 4/4	NVBDCP state reports
				No. of states with a comprehensive budgeted road map for the implementation at community level of the national Newborn & Child Health Strategy to reduce NMR, IMR and <5 mortality	<b>Baseline:</b> 0/12 <sup>22</sup> <b>Target:</b> 10/12	PIP + CRM + state monitoring reports (UNICEF)
				No. of states which have in place an adequate quality assurance mechanisms for MNCH services by front line workers (FLW)	<b>Baseline:</b> 0/12 <sup>22</sup> <b>Target:</b> 10/12	State monitoring reports – primary data collection

<sup>21</sup> All full programming and selected programming states.

<sup>22</sup> All full programming states and Maharashtra, Andhra Pradesh, Gujarat, Karnataka and West Bengal.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>1. Mother and child survival, growth and development</b>	1. Infants, young children and mothers have equitable access to and utilize quality services for child survival, growth and development		<p><b>RCH R #1.7:</b> Government and partners have the capacity to deliver essential facility based maternal, newborn and child health services according to set standards (IPHS), particularly in the most deprived communities</p>	No. of states with a comprehensive budgeted road map for the implementation of the national newborn & child health strategy at facility level (Including SCNUs) to reduce NMR, IMR and <5 mortality	<b>Baseline:</b> 0/14 <sup>23</sup> <b>Target:</b> 10/14	PIP + state monitoring reports (UNICEF)
				No. of states with a comprehensive budgeted strategy to implement the national delivery points operational guidelines	<b>Baseline:</b> 0/14 <sup>23</sup> <b>Target:</b> 10/14	PIP + state monitoring reports (UNICEF)
				No. of states which have in place an adequate QA mechanism for MNCH services at facility level	<b>Baseline:</b> 0/14 <sup>23</sup> <b>Target:</b> 10/14	State monitoring reports (UNICEF) + primary data collection
				Proportion of SNCUs accredited by NNF	<b>Baseline:</b> 0% <b>Target:</b> 65%	NNF website and SNCU reports (NCHRC)
				Proportion high focus districts with annually updated MNCH plans in place and M&E mechanisms functional for ensuring MNCH services	<b>Baseline:</b> 30% <b>Target:</b> 70%	CRM + state monitoring reports (UNICEF)
				Proportion of states with budgeted, stratified capacity building plan for MNCH care	<b>Baseline:</b> 0/5 <sup>24</sup> <b>Target:</b> 4/5	PIP + state monitoring reports (UNICEF)
				Proportion of districts with a PMU that can manage MNCH programs at district and block levels	<b>Baseline:</b> 11 <b>Target:</b> 44	State monitoring reports (UNICEF) Primary Data collection
				<b>RCH IR #1.8:</b> Health Managers at state, district and block level have the capacity to plan, implement and monitor MNCH programmes, including for children affected by disasters		

<sup>23</sup> All full programming and selected programming states and Karnataka.

<sup>24</sup> Assam, Bihar, MP, Chhattisgarh and Jharkhand.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
1. Mother and child survival, growth and development	1. Infants, young children and mothers have equitable access to and utilize quality services for child survival, growth and development		<p><b>RCH IR #1.9</b> Governments and partners have access to data, evidence, information and knowledge to guide health advocacy, policy, planning, programme and budgetary action, particularly for the most deprived children</p>	Number of states that have incorporated child centred DRR plans to respond to health needs of children and their mothers affected by disasters and civil strife	<b>Baseline:</b> 0 <b>Target:</b> 8	Survey
				No. of states with Maternal and Infant Death Review & follow-up mechanisms in place	<b>Baseline:</b> 3 <b>Target:</b> 9/11 <sup>25</sup> =86%	HMIS – state monitoring reports (UNICEF)
				Proportion of states with a budgeted improvement plan for the existing HMIS in place		PIP + state monitoring reports (UNICEF)
				No. of (sub)national institutes/ organizations with technical and managerial expertise to do quality assurance of large-scale health services coverage surveys and analyse and disseminate data, evidence, information and knowledge to guide national flagship programmes	<b>Baseline:</b> 4 <b>Target:</b> At least 1 for each of 11 <sup>25</sup> states	State monitoring reports + HACT spot checks and field monitoring, common assessment exercises, Reports, Websites and Publications
				No. of media partners and civil society organizations which can leverage data, evidence, information and knowledge on maternal, neonatal and child health to influence political views and public opinion	<b>Baseline:</b> 2 (media) <b>Target:</b> 4 (media) <b>Baseline:</b> 0 <b>Target:</b> 3 (CSOs)	Analysis by C&A of media reach

<sup>25</sup> All full programming states and Maharashtra, T. Nadu and W. Bengal.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
1. Mother and child survival, growth and development	1. Infants, young children and mothers have equitable access to and utilize quality services for child survival, growth and development	<p>% of HIV infected pregnant women who received antiretroviral drugs to reduce the risk of mother to child transmission of HIV (<i>Baseline: 27%</i> <i>Target: 80%</i> <i>Source: Universal Access Report, MOV &amp; Source: Universal Access Report</i>)</p> <p>% of infant born to HIV infected women receiving antiretroviral prophylaxis for prevention of mother to child transmission of HIV (<i>Baseline: 29%</i>,</p>	<p><b>RCH (HIV) IR #1.13:</b> Governments and partners have the capacity to scale programmes to ensure access to comprehensive services for the prevention of HIV transmission from parents to children (PPTCT), as part of routine antenatal and postnatal care</p>	<p>No. of states with a road map for integration of comprehensive PPTCT package into regular ANC and PNC services</p> <p>Proportion of states with a functional quality assurance of the cascade for HIV module (cross reference indicators for IR 1.1)</p>	<p><b>Baseline:</b> 3<sup>26</sup>/12 states <b>Target:</b> 12/12<sup>27</sup></p>	<p>PIP (NRHM and SACS) + state monitoring reports (UNICEF)</p>
			<p><b>RCH (HIV) IR #1.14:</b><sup>28</sup> Governments and partners have the capacity to scale up programmes to ensure access to and use of<sup>29</sup> adequate</p>	<p>No. of states with a road map and M&amp;E framework for the roll out of (F)IMNCI with an HIV module inserted</p> <p>No. of states which plan and implement a QA for paediatric HIV services</p>	<p><b>Baseline:</b> 1 (Karnataka)/12 <b>Target:</b>12/12</p> <p><b>Baseline:</b> 0/12 <b>Target:</b> 12/12</p>	<p>State PIP NRHM</p> <p>Availability of Plan documents on QA, State Review Reports</p>

<sup>26</sup> Bihar, Odisha and West Bengal.

<sup>27</sup> Assam, Bihar, Jharkhand, MP, Odisha, Rajasthan, UP, Maharashtra, AP, West Bengal, Gujarat and North East.

<sup>28</sup> Assam, Bihar, Jharkhand, MP, Odisha, Rajasthan, UP, Maharashtra, AP, T. Nadu and the North East.

<sup>29</sup> As part of SRA Level 3 monitoring.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
1. Mother and child survival, growth and development	1. Infants, young children and mothers have equitable access to and utilize quality services for child survival, growth and development	<p>Target: 80% Source: <i>Universal Access Report, Source &amp; MOV: Universal Access Report</i></p> <p>% of households using improved sanitation facilities (<i>Baseline: 34% JMP 2011 Update, Target: 60% JMP 2017 Update, Source &amp; MOV: JMP 2017 Update</i>)</p>	<p>treatment and care services for children living with HIV</p> <p><b>WASH IR #1.10:</b> Governments and partners have access to data, evidence, information and knowledge to guide WASH advocacy, policy, planning, programme and budgetary action</p>	<p>No. of Paediatric Centres of Excellence (PCoE) which plan and implement as per PCoE scheme</p> <p>No. of WASH knowledge and information products incorporated into government and partner data and KM systems and dissemination pathways</p> <p>No. of independent national institutes/organizations with technical expertise to undertake support to government WASH programmes and disseminate data, evidence, information and knowledge to guide WASH policy and programme action</p>	<p><b>Baseline:</b> 0/7 <b>Target:</b> 7/7</p> <p><b>Baseline:</b> 4 products per year <b>Target:</b> At least 6 significant<sup>30</sup> KM&amp;I products in the domain per year at the national level</p> <p>At least 2 significant<sup>31</sup> KM&amp;I products in the domain per year at state level</p> <p><b>Baseline:</b> 0 <b>Target:</b> At least 5 independent national institutions<sup>32</sup></p>	<p>Paediatric Centres of Excellence Plan documents, budgets and annual reports</p> <ul style="list-style-type: none"> <li>Published, peer reviewed research findings</li> <li>Government/partner websites at National and State levels</li> </ul> <ul style="list-style-type: none"> <li>Institutional capability statements</li> <li>Institutional WASH KM&amp;I dissemination strategy documents</li> </ul>

<sup>30</sup> Significant = products that are physically housed by government, partners and/or which can demonstrate contributions policy debate, government legislation and decision making influence/leverage.

<sup>31</sup> Significant = products that are physically housed by government, partners and/or which can demonstrate contributions policy debate, government legislation and decision making influence/leverage.

<sup>32</sup> And able to demonstrate technical and managerial expertise to undertake support to government WASH programmes; and having well-targeted WASH KM&I dissemination strategies.



UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>1. Mother and child survival, growth and development</b>	1. Infants, young children and mothers have equitable access to and utilize quality services for child survival, growth and development	% of households using improved drinking water sources ( <i>Baseline: 91% JMP 2011 Update, Target: 100% JMP 2017 Update, Source &amp; MOV: JMP 2017 Update</i> )	<b>WASH IR #1.11:</b> Governments and partners have capacity to scale-up improved service delivery of community approaches to eliminate open defecation and promote the adoption of hygiene practices, especially in the most deprived communities	No. of states implementing national sanitation guidelines	<b>Baseline:</b> Some or no states have all aspects of the guidelines currently in place <b>Target:</b> 15 states meet all aspects of the national guidelines	<ul style="list-style-type: none"> <li>State NBA/sanitation guidelines plan, targets, actions and budget lines</li> <li>Annual mapping of sanitation supply systems; stakeholders and locations</li> </ul>
				No. of states with monitoring frameworks for sanitation and hygiene service delivery <sup>33</sup>	<b>Baseline:</b> Not all states have all aspects of the national guidelines currently in place <b>Target:</b> 15 states meet all aspects of the National Guidelines	State monitoring framework

<sup>33</sup> Emphasises achievement of targets, actions and budget lines that demonstrate reach to the most deprived communities; and with reliable national verification.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
1. Mother and child survival, growth and development	1. Infants, young children and mothers have equitable access to and utilize quality services for child survival, growth and development		<p><b>WASH IR #1.12:</b> Governments and partners have the capacity to scale-up improved and service delivery of safe and sustainable water, especially in the most deprived communities</p>	No. of states with a comprehensive <sup>34</sup> and operational Water Safety Cycle Approach Plan <sup>35</sup>	<p><b>Baseline:</b> Not all states have all aspects of the guidelines currently in place</p> <p><b>Target:</b> 15 states meet all aspects of the National Guidelines</p>	<ul style="list-style-type: none"> <li>Water Safety Cycle Approach Plans, targets, actions and budget lines</li> <li>Annual Action Plans</li> </ul>
			<p><b>C4D IR #1.15:</b> Governments and other key stakeholders and partners can stimulate demand for</p>	No. of states with monitoring frameworks and guidelines <sup>36</sup>	<p><b>Baseline:</b> Not all states have all aspects of the national guidelines currently in place</p> <p><b>Target:</b> 15 states meet all aspects of the National Guidelines</p>	Monitoring frameworks
			<p><b>C4D IR #1.15:</b> Governments and other key stakeholders and partners can stimulate demand for</p>	Number of states with communication units in key government departments that can <sup>37</sup> design, implement, monitor and evaluate SBCC strategies	<p><b>Baseline:</b> 4 out of 15 states on track</p> <p><b>Target:</b> 10 out of 15 states</p>	<p><b>Pre-post capacity analysis reports PIP*</b> (*Analysis to look at converging programming, increased budget allocation and</p>

<sup>34</sup> From problem identification to solution implementation.

<sup>35</sup> As per the Uniform Protocol on Water Quality Monitoring, MIDWS.

<sup>36</sup> For safe and sustainable water supply that emphasise achievement of targets, actions and budget lines that demonstrate reach to the most deprived communities; and with reliable national verification.

<sup>37</sup> The criteria to assess capacity through the following dimensions: (1) shared understanding of SBCC, the institutional and social context in which the program operates, and its priorities, (2) shared recognition of gaps and capacity building, (3) established procedures, convergent planning and implementation, (4) number of functional and evidence-based communication strategies aligned with national flagship programs, (5) Assessment, sharing and management of results.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
1. Mother and child survival, growth and development	1. Infants, young children and mothers have equitable access to and utilize quality services for child survival, growth and development		services and promote practices regarding child survival, growth and development especially the most deprived			expenditure as well as enhanced focus beyond IEC production/distribution.)
				Number of quality communication strategies, related to CDN, RCH, and WASH, developed and endorsed by partners at national, state and district level	<p><b>Baseline:</b> 4 <b>Target:</b> 10 out of 15 states</p>	<ul style="list-style-type: none"> <li>Strategy document completed</li> <li>Endorsement letter received from the Government</li> </ul>
				No. of partners that can design, implement, monitor and evaluate SBCC strategies	<p><b>Baseline:</b> 0 <b>Target:</b> 15 (at least one per state)</p>	Pre-post capacity analysis reports
				No. of institutions/organizations which are able to accredit master trainers in line departments on IPC and community mobilization with special focus on the most deprived	<p><b>Baseline:</b> 8 out of 15 on track <b>Target:</b> 15 out of 15 (At least one training institution per state).</p>	Pre-post competency analysis report of institutions/organizations
			<p><b>C4D (Polio) IR #1.16:</b> Governments and partners have the capacity to scale up programmes including service delivery and demand</p>	% of caregivers in HRA and high risk groups (Brick kin workers, slum dwellers, construction workers, nomads), with knowledge that OPV protect their child from polio	<p><b>Baseline:</b> 98% in HRA; 96% in HRG <b>Target:</b> 100% in HRA and HRG</p>	KAP
		Number of polio cases (Baseline: as per source, Source: weekly polio reports, Target: 0 cases, MOV: AFP/environmental surveillance)		Percentage of children vaccinated with OPV during SIA (HRA)	<p><b>Baseline:</b> 95% in UP and 99% in Bihar <b>Target:</b> 100%</p>	KAP

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>1. Mother and child survival, growth and development</b>	1. Infants, young children and mothers have equitable access to and utilize quality services for child survival, growth and development		generation in SMNet high-risk areas, among high-risk groups and migrant populations	Proportion of households with soap anywhere in the house* (*Numerator: Households with soap anywhere in the house/ Denominator: Total number of households)	<b>Baseline:</b> Baseline ready by end Sept 2012 <b>Target:</b> TBD based on baseline	KAP
				% of mothers who could tell at least one benefit of breastfeeding	<b>Baseline:</b> 99% <b>Target:</b> 100%	KAP
				Proportion of households who reported that ORS should be given if child gets diarrhoea (HRA)	<b>Baseline:</b> 33% in UP and 59% in Bihar <b>Target:</b> 75% in UP and 80% in Bihar	KAP

## Expected UNDAF Outcome #1: Extracted from the UNDAF

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>2. Protective and learning environment</b>	2. Boys and girls live in a protective and learning environment and have equitable access to and utilise quality education and protection services	Estimates available on the proportion of all children in formal care who are currently accommodated in non-family-based care settings* and number of children living with their primary caregivers and receiving external support (Target: Estimates available)  % of births registered for children below 5 years	<b>CP IR #2.1:</b> Government and partners have the capacity to strengthen systems to protect children from child labour, violence, abuse, and unnecessary family separation <sup>38</sup>	No. of states in which the SCPS and DCPUs are adequately equipped <sup>39</sup> to implement ICPS	<b>Baseline:</b> Structures being put in place progressively in all states <b>Target:</b> 10 states (25% of DCPUs per state)	Minutes and reports of National and State Review meetings
				No. of states in which JJ functionaries <sup>40</sup> at district level are adequately equipped <sup>41</sup> to implement provisions of the JJ Act	<b>Baseline:</b> CWC/ JJB functionaries in place <b>Target:</b> 10 states (JJ functionaries from 50% of districts)	Minutes and reports of National and State Review meetings

\* See Footnote 2 on Page 3.

<sup>38</sup> **CP Concerns also include** – Child Trafficking, Sexual Exploitation, Juvenile Justice, Children Without Parental Care, CP Concerns of Children in Urban Areas, Child Marriage, DRR, Children Affected by Civil Strife, Children Affected by HIV, Children with Special Needs and other Context-Specific CP concerns.<sup>39</sup> **Adequately equipped DCPUs** – (a) Critical staff at SCPS and DCPU in place as per the ICPS norms; (b) Annual State Child Protection Plans and SitAns and Annual District Child Protection Plans available; (c) SCPS and DCPU Staff trained on Child Protection, JJ Act, and ICPS.<sup>40</sup> **JJ Functionaries** – These will include (a) CWC members; (b) JJB members; (c) SJPU and CWPOs.<sup>41</sup> **Adequately equipped to implement JJ Act** – (a) CWCs and JJBs established with functionaries, staff, and infrastructure as per norms; (c) CWC and JJB personnel trained on Child Protection, JJ Act, and ICPS.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
2. Protective and learning environment	2. Boys and girls live in a protective and learning environment and have equitable access to and utilise quality education and protection services	<p>(Baseline: 41% NFHS 2005-06, Target: 50% MOV &amp; Source: RSOC)</p> <p>Age specific workers population ratio (WPR) for age-group 5-14 year disaggregated by boys/girls, according to usual status</p> <p>(Baseline: Male 3%, Female 2% NSSO 2007-08 64th Round, Target: Male 0%, Female 0%, MOV &amp; Source: NSSO)</p>	<p>CP IR #2.2: Governments and partners have access to data, evidence, information and knowledge to guide advocacy,</p>	No. of states in which DCPU of at least one district <sup>42</sup> is able to establish functional <sup>43</sup> block and village level structures for protection of children (Including Child Protection Committees, School Management Committees)	<b>Baseline:</b> 0 <b>Target:</b> 8 states	<ul style="list-style-type: none"> <li>Minutes and reports of National and State Review meetings</li> <li>Studies</li> </ul>
				No. of states in which at least 10% DCPUs are able <sup>44</sup> to implement Family Strengthening and Non-Institutional Alternative Care Interventions (FS& NIAC)	<b>Baseline:</b> 0 <b>Target:</b> 5 states	Minutes and reports of National and State Review meetings
				MWCD is able to operationalize <sup>45</sup> MIS <sup>46</sup> on ICPS/Child protection at national level	<b>Baseline:</b> 0 <b>Target:</b> National MIS on CP and ICPS at MWCD	MWCD MIS Reports
				No. of SCPS are able to operationalize <sup>45</sup> MIS <sup>46</sup> on ICPS/child protection state level	<b>Baseline:</b> 0 <b>Target:</b> 8 states	SCPS MIS Reports

<sup>42</sup> Select districts, including districts with convergent programming.

<sup>43</sup> **Functional CP Structures at Block and Village level (including CPCs)** – (a) State specific guidelines and necessary orders for formation and strengthening of community-based CP structures available; (b) CP structures notified (BCPCs and VCPCs formed as per ICPS norms); (c) Members of CP structures (including BCPCs and VCPCs) and SMCs are aware of their roles and responsibilities towards addressing relevant CP concerns.

<sup>44</sup> **DCPU able to implement FS & NIAC** – (a) State specific guidelines, programme design, norms and standards to implement FS & NIAC programmes (including sponsorship and foster-care) in place (b) District Sponsorship and Foster Care Approval Committees (DSFCAC) established; (c) DCPUs, DSFCACs, CWCs, and NGOs trained on FS & NIAC programme components and implementation guidelines.

<sup>45</sup> **Operational MIS – National level** – (a) National level MIS established; (b) Designated staff are trained to collate, compile, and analyze data from states and prepare reports; **State level** – (a) State level MIS established; (b) Designated staff are trained on data entry, data analysis and report preparation.

<sup>46</sup> **Components of MIS** – As outlined in MWCD's ICPS monitoring formats at Central and State level, 2011.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>2. Protective and learning environment</b>	2. Boys and girls live in a protective and learning environment and have equitable access to and utilise quality education and protection services		policy, planning, programme and budgetary action on child protection	No. of states with improved knowledge management systems which feed into government and partner programmes	<b>Baseline:</b> 0 <b>Target:</b> KM products available at national and state level <sup>47</sup>	<ul style="list-style-type: none"> <li>Government websites</li> <li>Peer reviewed papers and reports</li> </ul>
			<b>CP IR #2.3:</b> Government and partners have the capacity to enable HIV- affected communities to protect and promote their rights and the rights of their children	No. of states with policies/ guidelines adopted which reflect equitable access to essential services for children affected by HIV and their families	<b>Baseline:</b> 0 <b>Target:</b> All 6 high prevalence states	<ul style="list-style-type: none"> <li>State policies and guidelines</li> </ul>
				No. of states having district level referral mechanisms, including DCPU and to education, to link HIV affected children and their families to available child and social protection services	<b>Baseline:</b> 0 <b>Target:</b> 75% increase in number of districts in high prevalence states	<ul style="list-style-type: none"> <li>CWC Orders</li> <li>DCPU and SSA Reports</li> </ul>
				No. of states with a broad based public and private partnership for scaling up HIV sensitive social protection schemes addressing the needs of HIV affected children and families <sup>48</sup>	<b>Baseline:</b> 0 <b>Target:</b> All high prevalence states	<ul style="list-style-type: none"> <li>Program reports</li> <li>Government websites</li> <li>Peer reviewed papers and evaluations</li> </ul>

<sup>47</sup> **KM products and information pathways** – (a) Research on Child Labour; (b) Vision Document on rationalization of residential child care and educational institutions; (c) Strategy document on promoting child protection systems in urban areas; (d) National level curriculum framework for child protection in social work education; (e) National level Child Protection e-platform on social media; (f) To be identified in consultation with MWCD and States.

<sup>48</sup> With public sector, academic institutions, private sector, development partners and media.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>2. Protective and learning environment</b>	2. Boys and girls live in a protective and learning environment and have equitable access to and utilise quality education and protection services	Upper primary school completion rate ( <i>Baseline and Targets TBD, Source: District Information for Systems in Education (DISE) 2011-2012</i> )	<b>EDU IR #2.4:</b> Government and partners have increased capacity to implement RTE and child friendly schools	No. of states with RTE plans that reflect the essential elements of CFSS <sup>49</sup> norms including WASH in Schools and Disaster Risk Reduction	<b>Baseline:</b> 0 <b>Target:</b> 15 states	<ul style="list-style-type: none"> <li>MHRD/SSA Annual Reports</li> <li>Joint Review Missions of SSA</li> <li>DISE</li> </ul>
				No. of states with functional institutional mechanisms and structures <sup>50</sup> to ensure that School Management Committees develop, monitor and implement the School Development Plan	<b>Baseline:</b> Karnataka, Kerala <b>Target:</b> 8 states	<ul style="list-style-type: none"> <li>MHRD/SSA Annual Reports</li> <li>DISE Reports</li> </ul>
				No. of states with effective <sup>51</sup> monitoring systems to address barriers and bottle-necks to RTE implementation	<b>Baseline:</b> 0 <b>Target:</b> 15 states	<ul style="list-style-type: none"> <li>MHRD/SSA Annual Reports</li> <li>NCPCR/SCPCR Reports</li> </ul>

<sup>49</sup> Child Friendly Schools and Systems (CFSS) is designed based on three guiding principles of child centeredness, democratic participation and inclusiveness. This has five major components: (a) Protective learning environment (b) School environment (c) Improved learning outcomes through development of teacher support, (d) Community and civil society partnerships and (e) Policy and systems.

<sup>50</sup> For states to have the mechanisms and structures for School Management Committees, the following is required: (a) Government order (b) Capacity building programme including TOT and manual

(c) Active participation of teachers, parents and local community leaders with 50% female participation (d) Good governance and accountability.

<sup>51</sup> Effective monitoring framework should have: (a) SCPCR/REPA in place; (b) RTE implementation plan (c) M&E framework and structure.



UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
2. Protective and learning environment	2. Boys and girls live in a protective and learning environment and have equitable access to and utilise quality education and protection services	Drop-out rate at primary level ( <i>Baseline: Total: 28.86% SES 2009-2010, Target: Reduction in drop-out rates by 8% points, MOV &amp; Source: SES</i> )	EDU IR #2.5: Government and partners have the capacity to provide equitable access to quality early childhood education	ECE Policy informed by improved knowledge and evidence	<b>Baseline:</b> Draft ECE Policy <b>Target:</b> ECE policy influenced by evidence	<ul style="list-style-type: none"> <li>ECE Policy</li> <li>IMEP study (Source of MOV)</li> </ul>
				National norm and standard for ECE endorsed	<b>Baseline:</b> No National Standard <b>Target:</b> National Standard in place	National Annual Reports and Government Orders
2. Protective and learning environment	2. Boys and girls live in a protective and learning environment and have equitable access to and utilise quality education and protection services	Drop-out rate at upper primary level ( <i>Baseline: Total 42.39% SES 2009-2010, Target: Reduction in drop-out rates by 5% points, MOV &amp; Source: SES</i> )	EDU IR #2.6: State level systems strengthened for enhancing capacities of teachers to deliver quality education with equity	No. of states in which the national norms and standards for ECE adapted and endorsed	<b>Baseline:</b> AP, Chhattisgarh, Gujarat, Tamil Nadu <b>Target:</b> 9 states	State Annual Reports and Government Orders
				No. of states with Teacher Education Plans that meet RTE Standards <sup>52</sup>	<b>Baseline:</b> 0 <b>Target:</b> 8 states	MHRD Annual Reports/ SSA/SCERT reports/ NCERT/NCTE reports
2. Protective and learning environment	2. Boys and girls live in a protective and learning environment and have equitable access to and utilise quality education and protection services	Drop-out rate at upper primary level ( <i>Baseline: Total 42.39% SES 2009-2010, Target: Reduction in drop-out rates by 5% points, MOV &amp; Source: SES</i> )	EDU IR #2.6: State level systems strengthened for enhancing capacities of teachers to deliver quality education with equity	No. of states that incorporate comprehensive plans for child friendly teaching methodologies <sup>53</sup>	<b>Baseline:</b> AP, Gujarat, Karnataka, Kerala, Tamil Nadu <b>Target:</b> 10 states	MHRD/SSA reports/ NCERT reports

<sup>52</sup> Standards are set by National Curriculum Framework 2005, National Council of Teacher Education and RTE. This includes addressing supply, demand and quality assurance of teachers including: (a) Pupil Teacher Ratio <30 at primary level and >35 at upper primary level (b) Professional qualifications prescribed by NCTE (c) Teacher eligibility test (d) Academic support structure (SCERT, DIET, BRC, CRC) linked to learning outcomes.

<sup>53</sup> Teaching methodology based on CFSS principles, teaching curriculum including hygiene education. Activity-Based Learning (ABL), and mother tongue instruction in lower grades.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>2. Protective and learning environment</b>	2. Boys and girls live in a protective and learning environment and have equitable access to and utilise quality education and protection services		<p><b>EDU IR #2.7:</b> Government and partners have the capacity to stimulate demand for equitable access to quality elementary education, especially by the most deprived communities<sup>54</sup></p>	No. of states that have plans to roll out good practices regarding the teacher support structure	<p><b>Baseline:</b> AP, Gujarat, Karnataka, Kerala, Tamil Nadu <b>Target:</b> 8 states</p>	MHRD/SSA reports/ NCERT/Minutes of Annual Review meetings
				No. of states with Education Plans that incorporate components relating to mainstreaming out-of-school children back into age appropriate class	<p><b>Baseline:</b> 0 <b>Target:</b> 15 states</p>	<ul style="list-style-type: none"> <li>• MHRD/SSA reports/ NCPDR</li> <li>• Reports of independent institutions</li> </ul>
				No. of states with training modules and teaching learning materials on special training with a focus on the most deprived children	<p><b>Baseline:</b> 0 <b>Target:</b> 8 states</p>	<ul style="list-style-type: none"> <li>• MHRD/SSA reports/ NCPDR</li> <li>• SCERT</li> </ul>
				No. of states that have adopted communication (C4D) strategies to stimulate demand for quality elementary education, especially targeting the most deprived communities	<p><b>Baseline:</b> 0 <b>Target:</b> 8 states</p>	<ul style="list-style-type: none"> <li>• Communication strategy (C4D) document for the states</li> <li>• PIP</li> </ul>
				No. of states with effective coalition <sup>55</sup> for girls' education	<p><b>Baseline:</b> AP, Assam, Gujarat, Karnataka, Rajasthan, T. Nadu <b>Target:</b> 15 states</p>	<ul style="list-style-type: none"> <li>• MHRD/SSA reports</li> <li>• Mahila Samakhya Reports</li> </ul>

<sup>54</sup> Vulnerable groups include Schedule Tribe, Scheduled Caste and Muslim children especially girls; children vulnerable to child labour/trafficking and child marriage; HIV-affected children; urban slum children; children with special needs; children without parental care; and children in situations of disaster and civil strife.

<sup>55</sup> Effective Coalition of government and civil society which involve close synergy between SSA and Mahila Samakhya with UNICEF playing convening role to ensure increased and targeted resources to overcome gender and social disparities.

## Expected UNDAF Outcome #1: Extracted from the UNDAF

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>3. Adolescent participation and empowerment</b>	3. Adolescents participate in and are empowered to make informed decisions affecting their lives	Drop-out rate of boys and girls at 10th standard ( <i>Baseline: Total: 52.76%, Boys: 53.38% Girls: 51.96% SES 2009-10, Target: reduction in drop-out rate by 5% points, MOV &amp; Source: SES</i> )	<b>EDU IR #3.1:</b> Key stakeholders have enhanced capacity to increase access to secondary education for adolescents, with a focus on reducing gender and social disparities	No. of states that have SSA/RMSA plans that include components to strengthen community-based education structures (SMIC, students' councils, PTA, MTA) for secondary education	<b>Baseline: 0</b> <b>Target: 10</b> out of 15 states	Annual Reports of SSA/RMSA, DISE report/endline survey
				No. of states that have mechanisms and systems to increase access of KGBV girls to secondary schools	<b>Baseline: 0</b> <b>Target: 6</b> out of 15 states	SSA/RMSA Annual Reports
				Adolescent-friendly and socially inclusive norms and standards incorporated into SSA/RMSA plans	<b>Baseline: Not yet</b> incorporated in any states' plans. <b>Target: 10</b> out of 15 states	SSA and RMSA Annual Work Plans
				No. of states in which life skills components addressing needs of adolescents, especially the most deprived and vulnerable, are integrated in the secondary education curriculum	<b>Baseline: 0</b> <b>Target: 8</b> out of 15 states	States' Secondary Education curricula
			<b>RCH IR #3.2:</b> Programme managers and service providers have the capacity to plan and provide responsive health services,	No. of adolescent-responsive (Facility and community based) health interventions modelled and evaluated, including for the most deprived	<b>Baseline: 0</b> <b>Target: 4</b>	Evaluation reports
	Proportion of adolescent girls who have begun child bearing ( <i>Baseline: 16% NFHS 3 2005-2006, Target: 12%, MOV &amp; Source: NFHS</i> )	Proportion of states that incorporate key learnings from alternative models in their implementation plans (NHRM, SABLA, ICDS)		<b>Baseline: 0</b> <b>Target: 6</b>	National, State and District PIPs	

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>3. Adolescent participation and empowerment</b>	3. Adolescents participate in and are empowered to make informed decisions affecting their lives	Estimates available on % of adolescent girls who receive appropriate services for the prevention of anaemia and under nutrition ( <i>Baseline and Target TBD, Source &amp; MOV: Adolescents Survey</i> )	including reproductive and sexual health services for adolescents	Proportion of state training institutes that conduct quality training on managing comprehensive adolescent health programs	<b>Baseline:</b> 0 <b>Target:</b> 6	Curricula and quality assessment reports of the institutes
			<b>CDN IR #3.3:</b> Government and partners have the capacity to scale up programmes to ensure a continuum of care for adolescent girls (Anemia control, nutrition care and support, personal hygiene and sanitation, health and psychosocial care)	Proportion of states with a comprehensive implementation plan to scale up programmes to ensure continuum of care for nutrition for adolescent girls, focusing on the most deprived	<b>Baseline:</b> 13/13 states on track <sup>56</sup> <b>Target:</b> 10 out of 13 states on target	State plans (Annual plans of different flagship programmes)
				Proportion of states with a comprehensive communication strategy to stimulate demand for the continuum of care for adolescent girls, focusing on the most deprived	<b>Baseline:</b> 13/13 states on track <b>Target:</b> 10 out of 13 on target	State PIPs and communication strategy documents
				Proportion of states with appropriate information systems to guide multi-sectoral food and nutrition action for adolescents	<b>Baseline:</b> 13/13 states on track <b>Target:</b> ≥10/13 States on target	Reports of large-scale (At least state-wide) nutrition surveys conducted by independent national organizations; constitutional documents of State Nutrition Missions/ Councils; minutes of

<sup>56</sup> *On track* means that the state is making adequate progress towards the target; *on target* means that the target is met.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>3. Adolescent participation and empowerment</b>	3. Adolescents participate in and are empowered to make informed decisions affecting their lives	% of population/ key stakeholders who support social norms that reinforce adolescents participation and empowerment <i>(Target: Values for this indicator are not yet available.</i>	<b>C4D IR #3.4:</b> Key stakeholders <sup>57</sup> have the knowledge and the capacities to enhance life skills for adolescents, especially girls,	Proportion of states with appropriate institutional mechanisms to guide and coordinate multi-sectoral food and nutrition policy and programme action, particularly for the most deprived adolescents	<b>Baseline:</b> 4 states on track <b>Target:</b> ≥10/13 States on target	quarterly meetings of the Nutrition Mission; HACT spot checks and field monitoring reports  Reports of large-scale (At least state-wide) nutrition surveys conducted by independent national organizations; constitutional documents of State Nutrition Missions/ Councils; Minutes of quarterly meetings of the Nutrition Mission; HACT spot checks and field monitoring reports  Available information <sup>58</sup>

<sup>57</sup> It includes adolescents themselves.

<sup>58</sup> From adolescents' survey, formative research, desk review, etc.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>3. Adolescent participation and empowerment</b>	3. Adolescents participate in and are empowered to make informed decisions affecting their lives	<p><i>Baseline surveys to be conducted for national and state level estimates.</i></p> <p><i>Targets to be subsequently determined)</i></p>	and promote appropriate practices and social norms for adolescents' participation	Relevant government programs at state and national level dealing with adolescent issues have appropriate strategies for enhancing the life skills of adolescents	<p><b>Baseline:</b> TBD (Based on a desk review and other studies related to this area).</p> <p><b>Target:</b> National – yes; states – 8 out of 15 (Depending from baseline)</p>	National and state strategy documents and programme appraisals
				Proportion of most deprived and vulnerable adolescents in selected areas who feel confident to use life skills to participate actively in social activities and to demand their rights and entitlements	<p><b>Baseline:</b> TBD</p> <p><b>Target:</b> TBD once baseline ready</p>	Adolescent survey
				No. of states with an endorsed communication strategy and materials to promote understanding and adoption of life skills on menstrual hygiene management	<p><b>Baseline:</b> 0</p> <p><b>Target:</b> 3 states (UP, Bihar and Jharkhand)</p>	<p><b>MOV:</b> Communication strategy document in the states PIPs in ARSH and SABLA reflecting focus on life skills related to menstrual hygiene management</p>
		% of adolescents who state that they actively participate in key decisions affecting their lives (Relating to their work, marriage, education,	<p><b>C4D (HIV) IR #3.5</b></p> <p>Government and key stakeholders have the knowledge and the capacity to ensure adolescents at risk and especially</p>	Proportion of key stakeholders who have the capacity to implement life skills based education among adolescents at risk and especially vulnerable for HIV using peer educator approach	<p><b>Baseline:</b> Data not available</p> <p><b>Targets:</b> 70% of key stakeholders working with adolescents at risk and especially vulnerable</p>	

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>3. Adolescent participation and empowerment</b>	3. Adolescents participate in and are empowered to make informed decisions affecting their lives	health, nutrition, hygiene, mobility) (Target: Values for this indicator are not yet available. Baseline surveys to be conducted for national and state level estimates. Targets to be subsequently determined)	vulnerable for HIV are equipped with life skills and are able to use their skills in an enabling environment to protect themselves against HIV	Proportion of adolescents at risk for HVI in select areas who have knowledge on how to protect themselves from HIV, violence, abuse and exploitation	<b>Baseline:</b> Data no available <b>Target:</b> 80% of adolescents at risk who are covered by target interventions	
		% of adolescents who stated that they have an opportunity to participate in community level governance, networks (Target: Values for this indicator are not yet available. Baseline surveys to be conducted for national	<b>A&amp;C IR #3.6:</b> Networks and platforms provided for adolescents to enhance their participation in decisions affecting their lives	No. of government guidelines from relevant ministries <sup>59</sup> that stipulate adolescents' participation in specific community platforms (RKS, gram sabha, school councils) and include monitoring & reporting of its frequency, quality and nature  Adolescent participation models in community platforms demonstrated and validated for upscaling	<b>Baseline:</b> 0 <b>Target:</b> At least three major schemes have those guidelines in place  <b>Baseline:</b> TBD based on analysis of documentation of adolescent participation models	<b>MOV:</b> Review of existing guidelines <sup>60</sup> from relevant ministries on specific community platforms  Documentation of the adolescent participation model (baseline) and study to assess impact (endline)

<sup>59</sup> Those include panchayati raj, rural development, health, WCD, HRD and Water & Sanitation.

<sup>60</sup> Study or desk review of existing guidelines by relevant ministries to understand if adolescents' engagement in specific community platforms is included in them.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>3. Adolescent participation and empowerment</b>	3. Adolescents participate in and are empowered to make informed decisions affecting their lives	<i>and state level estimates. Targets to be subsequently determined)</i>			<b>Target: 5</b> additional models in different settings	
				Proportion of states in which plans explicitly incorporate resources for upscaling successful adolescent participation models	<b>Baseline: 1</b> <b>Target: 6</b>	State plans
				Extent to which national and sub-national media reflect adolescent voices <sup>61</sup>	<b>Baseline:</b> TBD based on media analysis reports <b>Target:</b> 40% increase over baseline achieved	Media monitoring reports
			<b>DRR IR #3.7:</b> Key stakeholders at all levels have enhanced capacity to ensure equitable access to services and improved protection for children and adolescents in areas vulnerable	No. of flagship programmes incorporating child centered DRR, CCA and civil strife concerns	<b>Baseline: 1</b> <b>Target: 3</b>	SSA (baseline) and government policy documents – at least 3 national programmes
				No. of hazard/climate change vulnerable and affected states in rural and urban settings with a plan to address gaps and remove bottlenecks for improved access to services and protection for the most deprived adolescents	<b>Baseline:</b> TBD based on gap survey conducted in 2013 <b>Target: 7</b>	Baseline study + Multihazard vulnerability mapping (baseline) and end line study to identify impact

<sup>61</sup> A&C will define more specifically how this is captured through the analysis of national and sub-national media.



UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>3. Adolescent participation and empowerment</b>	3. Adolescents participate in and are empowered to make informed decisions affecting their lives	% of married women getting married before age 18 ( <i>Baseline: 43%, Source: DLHS III, Target: 30%, MOV &amp; Source: DLHS IV/RSOC</i> )	to and affected by disaster and civil strife	No. of states with key institutions, civil society organizations and communities having knowledge and skills on effective child centered DRR and CCA	<b>Baseline:</b> 0 <b>Target:</b> 8 out of 15 states	Baseline and endline study
			<b>CP IR #3.8:</b> Key stakeholders have the knowledge and capacity to create a protective environment <sup>62</sup> for adolescents	Key stakeholders (Disaggregated by government, civil society, PRIs, community leaders, families) in selected areas who know and understand their duties and responsibilities towards ensuring adolescents' participation and decision making on DRR and CCA	<b>Baseline:</b> TBD based on adolescent survey <b>Target:</b> TBD based on adolescent survey	Adolescent survey
				No. of states with programmes for the protection of adolescents against violence, abuse and exploitation	<b>Baseline:</b> 8 <b>Target:</b> 6 additional states	Reports from states
				Key stakeholders (Disaggregated by government, civil society, PRIs, community leaders, families) in selected areas with knowledge and capacity to create a protective environment for adolescents	<b>Baseline:</b> TBD based on adolescent <sup>63</sup> survey <b>Target:</b> x increase from baseline	Adolescent survey

<sup>62</sup> An environment that protects adolescents from violence, abuse and exploitation. It includes four dimensions: institutions and structures; access to services; social norms and adolescents' skills to protect themselves.

<sup>63</sup> These interventions could be under SABLA but also under other schemes and initiatives, such as specific interventions against child marriage, trafficking or others.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>3. Adolescent participation and empowerment</b>	3. Adolescents participate in and are empowered to make informed decisions affecting their lives	% of boys married before the age of 21 years ( <i>Baseline: 23%, Source: DLHS 2007-08, Target 16%, MOV &amp; Source: Survey Conducted on Adolescents</i> )		Proportion of adolescents in select areas who have knowledge on how to protect themselves from HIV, violence, abuse and exploitation	<b>Baseline:</b> TBD based on the adolescent survey <b>Target:</b> TBD after baseline is ready	Adolescent survey (Baseline and endline)
				Proportion of community opinion leaders, caregivers and adolescents in select areas who believe that many people in their communities marry their daughters before 18	<b>Baseline:</b> TBD based on the adolescent survey and the IDA <b>Target:</b> TBD after endline survey baseline is ready	Adolescent survey (Baseline and endline)
				Proportion of community opinion leaders, caregivers and adolescents in select areas who believe that families are expected to marry their daughters before 18. <i>See footnote for cross-reference with PC 2<sup>64</sup></i>  <i>Other indicators on social norms affecting the protection of adolescents (ie on corporal punishment, sexual violence, son preference, etc.) will be captured through the Adolescent Survey</i>	<b>Baseline:</b> TBD based on the adolescent survey and the IDA <b>Target:</b> TBD after endline survey baseline is ready	Adolescent survey (Baseline and endline)

<sup>64</sup> NOTE: The CP programme will have many interventions to strengthen the CP system in India, which will benefit adolescents, but those are captured under PC 2. Specific indicators on strengthening the system for the protection of adolescents in conflict with the law are also captured in PC 2 (IR 2.1).

## Expected UNDAF Outcome #1: Extracted from the UNDAF

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
4. Policy advocacy and social change for child rights	4. Policies, practices, programmes, public opinion and social norms advance the rights of children, adolescents and women	Availability of annual disaggregated data on outcomes for children and women for use in policy and programme  Government is able to bring out timely reports on MDGs (Union and states)  National Evaluation system established and related protocols incorporated with an equity lens	<b>PPE IR #4.1:</b> Data, monitoring and evaluation systems strengthened and updated to inform policies and decision making for equity focused and inclusive programmes and policies on children and women	No. of sectors affecting well-being of children, at national and state levels in which disaggregated data is generated and timely disseminated, in specific gap areas through surveys and Routine Monitoring	<b>Baseline:</b> TBD based on gap analysis <b>Target:</b> 40% of gaps identified and mechanisms in place for collecting, analysis and dissemination of data	Government document on data gap analysis  Relevant data available in government databases.
			<b>PPE IR #4.2:</b> Evidence-based strategic knowledge generated on prioritized issues related to child rights to inform programmes and policy	No. of sectors, affecting well-being of children in which equity and child deprivation focused analysis at national and state levels is conducted and disseminated, in a regular manner	<b>Baseline:</b> Situation analysis on child deprivation at national/state level <b>Target:</b> Updated situation analysis with new data sets	Desk review reports available
			<b>PPE IR #4.2:</b> Evidence-based strategic knowledge generated on prioritized issues related to child rights to inform programmes and policy	No. of key government departments/state governments with quality assurance guidelines to facilitate evidence based scaling up of innovations	<b>Baseline:</b> Quality assurance guidelines yet to be developed <b>Target:</b> At least 5 key departments at the national and state level with QA guidelines	Evaluation of knowledge management functions in GOI and UNICEF
				No. of knowledge products available to sectors based on QA guidelines	<b>Baseline:</b> 0 <b>Target:</b> All pilots evaluated and documented	Evaluation, Knowledge products, IMEP

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<p><b>4. Policy advocacy and social change for child rights</b></p>	<p>4. Policies, practices, programmes, public opinion and social norms advance the rights of children, adolescents and women</p>	<p>CRC recommendations are implemented by an effective inter-ministerial mechanism</p>	<p><b>PPE IR #4.3:</b> Government, civil society and rights organisations' networks are able to report on CRC and follow up on concluding observations, in a timely manner</p>	<p>Timely submission of government and civil society CRC reports</p> <p>National commission and no. of state commissions that have a functional system* to address child rights violation                      *(Complaints mechanism, inspections, investigations, public hearings, summons procedures and monitoring mechanisms for RTE, JJA and Protection of Children from Sexual Offences, Act)</p> <p>Availability of national plan of action and state plan of action taking forward recommendations of CRC report</p>	<p><b>Baseline:</b> Previous report delayed  <b>Target:</b> As stipulated by the CRC committee</p> <p><b>Baseline:</b> Qualitative assessment/survey  <b>Target:</b> At least 50% commissions have a functional system</p> <p><b>Baseline:</b> No plans updated available  <b>Target:</b> All states with UNICEF programming</p> <p><b>Baseline:</b> Studies on social exclusion in 4 flagship schemes (SSA, RCH, TSC and ICDS) in 3 states</p>	<p>India CRC 5th and 6th reports combined</p> <p>Survey, qualitative assessment</p> <p>NPAC &amp; SPACS</p> <p>Scorecard report on all 8 flagships across states</p>

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
4. Policy advocacy and social change for child rights	4. Policies, practices, programmes, public opinion and social norms advance the rights of children, adolescents and women	<p>programmes at national and state level</p> <p>Number of state governments that demonstrate support for the Social Protection Floor</p>		Degree to which sensitivity to priorities of the most deprived women and children are reflected in budgets of key national flagship programmes	<p><b>Target:</b> Analysis of strategies all 8 flagships in all programming states</p> <p><b>Baseline:</b> Studies of 6 states and 4 flagship programmes</p> <p><b>Target:</b> Studies of at least the same over 2 points in time</p>	Availability of studies
				<p>No. of knowledge products available to decision makers and influencers for policy advocacy on prioritised issues related to child rights</p>	<p><b>Baseline:</b> To be established using secondary data and generating new data by web-based tracking of uptake/uptake logs</p> <p>- User/Feedback surveys</p> <p>- Citation analysis</p> <p><b>Target:</b> x increase in uptake/response of knowledge products</p>	<p>Web-based tracking of uptake/uptake logs</p> <p>- User/Feedback surveys</p> <p>- Citation analysis</p>

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
4. Policy advocacy and social change for child rights	4. Policies, practices, programmes, public opinion and social norms advance the rights of children, adolescents and women	% of selected influencers and decision makers who have supportive attitudes to prioritised issues	<p><b>PPE IR #4.6:</b> Governments have the capacity to ensure that social protection policies and programmes are child sensitive and can scale up validated models</p> <p><b>C4D IR #4.7:</b> Government, INGOs, NGOs and media know how to use C4D</p>	Proportion of key decision makers and influencers who are knowledgeable and demonstrate a commitment towards key child rights issues	<p><b>Baseline:</b> TBD using secondary data and analysis of parliament questions, media reports and survey of key influencers</p> <p><b>Target:</b> TBD after establishing the baseline</p>	Parliamentary questions, media reports, survey of key influencers
				Extent to which national and state governments are knowledgeable and demonstrate commitment towards applying the child sensitive social protection guidance	<p><b>Baseline:</b> TBD based on mapping</p> <p><b>Target:</b> TBD</p>	Mapping and capacity assessment exercises
		Types and % of primary stakeholders in select areas that know about,	No. of models for promoting access of vulnerable communities to social protection piloted and studied for policy upscaling	No. of models for promoting access of vulnerable communities to social protection piloted and studied for policy upscaling in at least three states	<p><b>Baseline:</b> 0</p> <p><b>Target:</b> CSSP pilots tested and studied for upscaling in at least three states</p>	Pilot models under implementation; M&E reports; policy advocacy outputs
			No. of C4D models, methods and media innovations validated using standard parameters for adoption and/or scale up	<p><b>Baseline:</b> 6<sup>65</sup></p> <p><b>Target:</b> 5 additional models (Across section &amp; state combined),</p>	<p><b>Baseline:</b> 6<sup>65</sup></p> <p><b>Target:</b> 5 additional models (Across section &amp; state combined),</p>	Effectiveness study; End line Study; Govt/ INGO/NGO/Media letters of endorsement

<sup>65</sup> Kyunki, FFL Videos, Community Radio, Meena Radio, ASHA Training Films, Centres of Excellence, Odisha.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
4. Policy advocacy and social change for child rights	4. Policies, practices, programmes, public opinion and social norms advance the rights of children, adolescents and women	have a positive attitude towards and have confidence to support positive child friendly social and cultural norms	models, methods, media innovations as part of their programs to promote child friendly social and cultural norms	No. of partners (Government, NGO and communication agency) who know how to use (are aware of the model, its components and implementation modality) C4D models, methods, media innovations as part of their programs	methods, media innovations across IPC, capacity building, M&E  <b>Baseline:</b> 14 <sup>66</sup> <b>Target:</b> In addition at least 2 partners in full programme states and 1 in select programme states Total: 16+5 = 21	Partners capacity assessment reports; Capacity Development Report; NGO implementation reports
				Extent to which sectoral plans reflect children's issues discussed in Gram Sabhas/panchayat committees	<b>Baseline:</b> Sectoral plans at the district level are not based on community demands <b>Targets:</b> Sectoral plans in 14 districts use community demands for planning purposes	Gram Sabha minutes; panchayat committee minutes, sectoral plans

<sup>66</sup> SSA UP & AP, DD National, DD Regional Kendra AP, Odisha SIHFV, Jharkhand ICDS & Health, NRHM UP, 7 NGOs.

UNICEF Programme Component	Programme Component Result (PCR)	PCR Indicators, Baselines, Targets and MOVs	Intermediate Result (IR)	IR Indicators	Baseline Targets	MOV
<b>4. Policy advocacy and social change for child rights</b>	4. Policies, practices, programmes, public opinion and social norms advance the rights of children, adolescents and women			No. of districts with functional planning and monitoring systems established to support district planning (for e.g. DPMUs)	<b>Baseline:</b> Depends on district chosen <b>Target:</b> 10 states	GO, Annual Reports of DPMUs
				No. of states with specific modules and training plans on children's issues for PRIs	<b>Baseline:</b> 1 state (Kerala) <b>Target:</b> 15 states <sup>67</sup>	Training plans

<sup>67</sup> Bihar, UP, MP, Raj, Odisha, Jharkhand, Chhattisgarh, Assam, Maharashtra, Gujarat, Karnataka, AP, T. Nadu, W. Bengal, Kerala.



## Annexure C: Five Year Integrated Monitoring and Evaluation Plan

	2013	2014	2015	2016	2017
Major Events/Processes Using Research, M&E Data	<ul style="list-style-type: none"> <li>• GoI-UNICEF Annual Review</li> </ul>	<ul style="list-style-type: none"> <li>• GoI-UNICEF Annual Review</li> </ul>	<ul style="list-style-type: none"> <li>• GoI-UNICEF Mid Term Review</li> <li>• UNDAF Mid Term Review</li> <li>• GoI-UNICEF Annual Review</li> </ul>	<ul style="list-style-type: none"> <li>• GoI 13<sup>th</sup> Five Year Plan Approach Paper</li> <li>• GoI-UNICEF Annual Review</li> </ul>	<ul style="list-style-type: none"> <li>• Development of UNDAF 2018-2022 Country Programme Document 2018-2022</li> <li>• GoI-UNICEF Annual Review</li> </ul>
<b>Surveys, studies</b> (Including SITAN related)	<ul style="list-style-type: none"> <li>• Study on Situation of Adolescents in India</li> <li>• Violence against Children (Joint Report of MWCD and UNICEF)</li> <li>• Study on Situation of Children in Urban Areas of India</li> <li>• Baseline Survey for Advocacy and Communication Programme</li> <li>• Baseline Survey for New Convergent Districts</li> <li>• Study to Identify Gaps in Disaster Risk Reduction and Climate Change in GoI Flagship Programmes</li> <li>• Rapid Survey on Children (RSOC)</li> <li>• Study of Children in Alternative Care</li> <li>• Study on Quality School Readiness Interventions on Primary Education</li> </ul>	<ul style="list-style-type: none"> <li>• Assessment of Progress towards Elimination of New HIV Infection among Children</li> <li>• Operations Research on Impact of Comprehensive Interventions on Newborn Health (2011-2014)</li> <li>• Research on Child Labour and Education, including Emerging Study on Juvenile Justice</li> <li>• Study on Trafficking of Children Forms of Child Labour</li> </ul>	<ul style="list-style-type: none"> <li>• Equity-focused Situation of Children and Women in India</li> <li>• Rapid Survey on Children (RSOC)</li> <li>• Review of the Implementation of Right to Education (Jointly with MHRD)</li> <li>• Midline Survey of Convergent Districts</li> <li>• Development of Child Development Index</li> </ul>	<ul style="list-style-type: none"> <li>• Measuring Changes in Social Norms Related to Child Marriage</li> </ul>	<ul style="list-style-type: none"> <li>• Endline Survey of Convergent Districts</li> <li>• Development of Child Development Index</li> <li>• Rapid Survey on Children (RSOC)</li> <li>• Endline Study on Situation of Adolescents in India</li> </ul>

	2013	2014	2015	2016	2017
Major Events/Processes Using Research, M&E Data	<ul style="list-style-type: none"> <li>Gol-UNICEF Annual Review</li> </ul>	<ul style="list-style-type: none"> <li>Gol-UNICEF Annual Review</li> </ul>	<ul style="list-style-type: none"> <li>Gol-UNICEF Mid Term Review</li> <li>UNDAF Mid Term Review</li> <li>Gol-UNICEF Annual Review</li> </ul>	<ul style="list-style-type: none"> <li>Gol 13<sup>th</sup> Five Year Plan Approach Paper</li> <li>Gol-UNICEF Annual Review</li> </ul>	<ul style="list-style-type: none"> <li>Development of UNDAF 2018-2022 Country Programme Document 2018-2022</li> <li>Gol-UNICEF Annual Review</li> </ul>
<b>Evaluations</b>	<ul style="list-style-type: none"> <li>Evaluation of Strategies to Improve Infant and Young Child Feeding Practices in India (Baseline)</li> </ul>	<ul style="list-style-type: none"> <li>Evaluation of Activity Based Learning/Multi-ability Multi-level learning as means of Child-Friendly Education (2012-2014)</li> <li>Evaluation of Communication Strategies for Routine Immunisation</li> </ul>	<ul style="list-style-type: none"> <li>Evaluation of Models to Improve Maternal and Child Health Services (2015-2017)</li> <li>Evaluation of Strategies to Improve Infant and Young Child Feeding Practices in India (Midline)</li> <li>Midterm Evaluation of Strategies Adopted in Convergent Districts</li> </ul>	<ul style="list-style-type: none"> <li>Evaluation of Differentiated Programming Approach for Gol-UNICEF Country Programme</li> </ul>	<ul style="list-style-type: none"> <li>Evaluation of Knowledge Management Functions in Gol and UNICEF</li> <li>Evaluation of Strategies to Improve Infant and Young Child Feeding Practices in India (Endline)</li> <li>Summative Evaluation of Strategies Adopted in Convergent Districts</li> </ul>
<b>Monitoring systems</b>	<ul style="list-style-type: none"> <li>WHO-UNICEF Joint Monitoring Programme for Water Supply and Sanitation</li> <li>Review of ICDS MIS</li> </ul>	<ul style="list-style-type: none"> <li>WHO-UNICEF Joint Monitoring Programme for Water Supply and Sanitation</li> <li>Review of ICDS MIS</li> </ul>	<ul style="list-style-type: none"> <li>WHO-UNICEF Joint Monitoring Programme for Water Supply and Sanitation</li> </ul>	<ul style="list-style-type: none"> <li>WHO-UNICEF Joint Monitoring Programme for Water Supply and Sanitation</li> <li>Review of ICDS MIS</li> </ul>	<ul style="list-style-type: none"> <li>WHO-UNICEF Joint Monitoring Programme for Water Supply and Sanitation</li> </ul>
<b>Partners' major data collection activities</b>	<ul style="list-style-type: none"> <li>National Family Health Survey 4</li> <li>District Level Household Survey 4</li> </ul>	<ul style="list-style-type: none"> <li>National Family Health Survey 4</li> </ul>			<ul style="list-style-type: none"> <li>Evaluation of ICDS</li> </ul>

	2013	2014	2015	2016	2017
Major Events/Processes Using Research, M&E Data	<ul style="list-style-type: none"> <li>• Gol-UNICEF Annual Review</li> </ul>	<ul style="list-style-type: none"> <li>• Gol-UNICEF Annual Review</li> </ul>	<ul style="list-style-type: none"> <li>• Gol-UNICEF Mid Term Review</li> <li>• UNDAF Mid Term Review</li> <li>• Gol-UNICEF Annual Review</li> </ul>	<ul style="list-style-type: none"> <li>• Gol 13<sup>th</sup> Five Year Plan Approach Paper</li> <li>• Gol-UNICEF Annual Review</li> </ul>	<ul style="list-style-type: none"> <li>• Development of UNDAF 2018-2022 Country Programme Document 2018-2022</li> <li>• Gol-UNICEF Annual Review</li> </ul>
<b>M&amp;E capacity development</b> (UNICEF and partners)	<ul style="list-style-type: none"> <li>• DevInfo</li> <li>• ISB-UNICEF Executive Course on Evaluation</li> <li>• Institutionalising DevInfo and capacity development of partners</li> <li>• Evaluation Conclave 2013</li> <li>• Support to India Forum on Child Statistics (INFOCS)</li> <li>• Improving quality of ICDS MIS training</li> </ul>	<ul style="list-style-type: none"> <li>• DevInfo</li> <li>• ISB-UNICEF Executive Course on Evaluation</li> <li>• Support to INFOCS</li> <li>• Improving quality of ICDS MIS training</li> </ul>	<ul style="list-style-type: none"> <li>• DevInfo</li> <li>• ISB-UNICEF Executive Course on Evaluation</li> <li>• Evaluation Conclave 2015</li> <li>• Support to INFOCS</li> </ul>	<ul style="list-style-type: none"> <li>• DevInfo</li> <li>• ISB-UNICEF Executive Course on Evaluation</li> <li>• Support to INFOCS</li> </ul>	<ul style="list-style-type: none"> <li>• DevInfo</li> <li>• ISB-UNICEF Executive Course on Evaluation</li> <li>• Evaluation Conclave 2017</li> <li>• Support to INFOCS</li> </ul>

## Annexure D: Summary Budget Table by Programme Component<sup>68</sup> and Funding Source (in USD in thousand)

Programme	PC 1: Mother and Child Survival, Growth and Development		PC 2: Protective and Learning Environment			PC 3: Adolescent Participation and Empowerment			PC 4: Policy Advocacy and Social Change			Programme Management Result			Total	
	RR <sup>69</sup>	OR <sup>70</sup>	Total	RR	OR	Total	RR	OR	Total	RR	OR	Total	RR	OR		Total
Reproductive and Child Health	13,664	71,736	85,400	976	5,124	6,100	3,904	20,496	24,400	976	5,124	6,100	-	-	-	122,000
Child Development and Nutrition	16,244	61,107	77,350	-	-	-	2,867	10,784	13,650	-	-	-	-	-	-	91,000
Water, Sanitation and Hygiene	9,856	51,744	61,600	1,848	9,702	11,550	616	3,234	3,850	-	-	-	-	-	-	77,000
Education	-	-	-	14,112	44,688	58,800	5,040	15,960	21,000	1,008	3,192	4,200	-	-	-	84,000
Child Protection	-	-	-	7,680	30,720	38,400	5,120	20,480	25,600	-	-	-	-	-	-	64,000
Policy, Planning and Evaluation	2,520	3,780	6,300	2,520	3,780	6,300	2,520	3,780	6,300	17,640	26,460	44,100	-	-	-	63,000
Disaster Risk Reduction	900	900	1,800	1,200	1,200	2,400	3,000	3,000	6,000	900	900	1,800	-	-	-	12,000
Advocacy and Communication	1,512	1,188	2,700	1,512	1,188	2,700	3,024	2,376	5,400	9,072	7,128	16,200	-	-	-	27,000
Communication for development	5,684	34,916	40,600	1,624	9,976	11,600	4,872	29,928	34,800	4,060	24,940	29,000	-	-	-	116,000
Program Review and Monitoring	2,518	4,108	6,625	2,518	4,108	6,625	2,518	4,108	6,625	2,518	4,108	6,625	-	-	-	26,500
Cross-sectoral	-	-	-	-	-	-	-	-	-	-	-	-	55,000	12,500	67,500	67,500
<b>Total</b>	<b>52,897</b>	<b>229,478</b>	<b>282,375</b>	<b>33,990</b>	<b>110,486</b>	<b>144,475</b>	<b>33,480</b>	<b>114,145</b>	<b>147,625</b>	<b>36,174</b>	<b>71,852</b>	<b>108,025</b>	<b>55,000</b>	<b>12,500</b>	<b>67,500</b>	<b>750,000</b>

These are estimated amounts, which will depend on the actual availability of UNICEF global resources and specific-purpose contributions from funding partners.

<sup>68</sup> Budget for HIV programme, totalling US\$4 million per year (US\$2.9 million in RR and \$1.1 million in OR) has been integrated into relevant Programme Components, namely, Advocacy and Communication, Child Protection, Education, Nutrition, Reproductive and Child Health and Communication for Development.

<sup>69</sup> Regular Resources.

<sup>70</sup> Other Resources.









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